

## UTILITIES DIVISION[199]

Former Commerce Commission[250] renamed Utilities Division[199]  
under the “umbrella” of Commerce Department[181] by 1986 Iowa Acts, Senate File 2175, section 740.

### CHAPTER 1

#### ORGANIZATION AND OPERATION

- 1.1(17A,474) Purpose
- 1.2(17A,474) Scope of rules
- 1.3(17A,474,476,78GA,HF2206) Waivers
- 1.4(17A,474) Duties of the board
- 1.5(17A,474) Organization
- 1.6(68B) Consent for the sale or lease  
of goods and services
- 1.7 Reserved
- 1.8(17A,474) Matters applicable to all  
proceedings
- 1.9(22) Public information and  
inspection of records

### CHAPTER 2

#### FORMS

- 2.1(17A,474) Forms—general
- 2.2(17A,474) Specific forms
- 2.3 Reserved
- 2.4(17A,474) Forms

### CHAPTER 3

#### RULE MAKING

- 3.1(17A,474) Purpose and scope
- 3.2(17A,474) Notice of inquiry
- 3.3(17A,474) Petition for adoption of rules
- 3.4(17A,474) Commencement of  
proceedings
- 3.5(17A,474) Written statements of position
- 3.6(17A,474) Counterstatements of position
- 3.7(17A,474) Requests for oral presentation
- 3.8(17A,474) Rule-making oral presentation
- 3.9(17A,474) Rule-making decisions
- 3.10(17A,474) Regulatory analysis
- 3.11(17A,474) Review of rules

### CHAPTER 4

#### DECLARATORY ORDERS

- 4.1(17A) Petition for declaratory order
- 4.2(17A) Notice of petition
- 4.3(17A) Intervention
- 4.4(17A) Briefs
- 4.5(17A) Inquiries
- 4.6(17A) Service and filing of petitions and  
other papers
- 4.7(17A) Agency consideration

- 4.8(17A) Action on petition
- 4.9(17A) Refusal to issue order
- 4.10(17A) Contents of declaratory  
order—effective date
- 4.11(17A) Copies of orders
- 4.12(17A) Effect of a declaratory order

### CHAPTER 5

#### PROCEDURE FOR DETERMINING THE COMPETITIVENESS OF A COMMUNICATIONS SERVICE OR FACILITY

- 5.1(476) Purpose
- 5.2(476) Petition
- 5.3(476) Docketing
- 5.4(476) Statement of position
- 5.5(476) Oral presentation
- 5.6(476) Decision
- 5.7(476) Extent of deregulation
- 5.8(476) Hearing and order

### CHAPTER 6

#### COMPLAINT PROCEDURES

- 6.1(476) Inquiry
- 6.2(476) Complaint
- 6.3(476) Processing the complaint
- 6.4(476) Proposed resolution
- 6.5(476) Initiating formal complaint  
proceedings
- 6.6(476) Applicable procedures
- 6.7(476) Record
- 6.8(476) Special procedures for  
complaints alleging  
unauthorized changes in  
telecommunications services

### CHAPTER 7

#### PRACTICE AND PROCEDURE

- 7.1(17A,474,476) Scope and applicability
- 7.2(17A,476) Definitions
- 7.3(17A,476) Presiding officers
- 7.4(17A,474,476) General information
- 7.5(17A,476) Time requirements
- 7.6(17A,476) Telephone proceedings
- 7.7(17A,476) Electronic files
- 7.8(17A,476) Delivery of notice of hearing
- 7.9(17A,476) Pleadings and answers
- 7.10(17A,476) Prefiled testimony and  
exhibits

- 7.11(17A,476) Documentary evidence in books and materials
- 7.12(17A,476) Motions
- 7.13(17A,476) Intervention
- 7.14(17A,476) Consolidation and severance
- 7.15(17A,476) Discovery
- 7.16(17A,476) Subpoenas
- 7.17(17A,476) Prehearing conference
- 7.18(17A,476) Settlements
- 7.19(17A,476) Stipulations
- 7.20(17A,476) Investigations
- 7.21(17A,476) Withdrawals
- 7.22(17A,476) Ex parte communication
- 7.23(17A,476) Hearings
- 7.24(17A,476) Reopening record
- 7.25(17A,476) Interlocutory appeals
- 7.26(17A,476) Appeals to board from a proposed decision of a presiding officer
- 7.27(17A,476) Rehearing and reconsideration
- 7.28(17A,476) Stay of agency decision
- 7.29(17A,476) Emergency adjudicative proceedings

#### CHAPTER 8 CIVIL PENALTIES

- 8.1(476) Civil penalty for willful violation
- 8.2(476) Procedure
- 8.3(476) Penalties assessed
- 8.4(476) Payment of penalty
- 8.5(476) Rate-regulated utilities

#### CHAPTER 9 RESTORATION OF AGRICULTURAL LANDS DURING AND AFTER PIPELINE CONSTRUCTION

- 9.1(479,479B) General information
- 9.2(479,479B) Filing of land restoration plans
- 9.3(479,479B) Procedure for review of plan
- 9.4(479,479B) Restoration of agricultural lands

- 9.5(479,479B) Designation of a pipeline company point of contact for landowner inquiries or claims
- 9.6(479,479B) Separate agreements
- 9.7(479,479B) Enforcement

#### CHAPTER 10 INTRASTATE GAS AND UNDERGROUND GAS STORAGE

- 10.1(479) General information
- 10.2(479) Petition for permit
- 10.3(479) Informational meetings
- 10.4(479) Notice of hearing
- 10.5(479) Objections
- 10.6(479) Hearing
- 10.7(479) Pipeline permit
- 10.8(479) Renewal permits
- 10.9(479) Amendment of permits
- 10.10(479) Fees and expenses
- 10.11(479) Inspections
- 10.12(479) Standards for construction, operation and maintenance
- 10.13 Reserved
- 10.14(479) Crossings of highways, railroads, and rivers
- 10.15 Reserved
- 10.16(479) When a permit is required
- 10.17(479) Accidents and incidents
- 10.18(479) Reportable changes to pipelines under permit
- 10.19(479) Sale or transfer of permit

#### CHAPTER 11 ELECTRIC LINES

- 11.1(478) General information
- 11.2(478) Forms of petition for franchise, extension, or amendment of franchise
- 11.3(478) Additional filing instructions
- 11.4(478) Informational meetings
- 11.5(478) Notices
- 11.6(478) Common and joint use
- 11.7(478) Termination of franchise petition proceedings
- 11.8(478) Fees and expenses

<p style="text-align: center;">CHAPTER 12 INTERSTATE NATURAL GAS PIPELINES AND UNDERGROUND STORAGE</p>		15.5(476)	Rates for purchases from qualifying facilities by rate-regulated electric utilities
12.1(479A)	Authority	15.6(476)	Rates for sales to qualifying facilities and AEP facilities by rate-regulated utilities
12.2(479A)	Inspections	15.7(476)	Additional services to be provided to qualifying facilities and AEP facilities by rate-regulated electric utilities
12.3 to 12.5	Reserved	15.8(476)	Interconnection costs
12.6(479A)	Incident reporting	15.9(476)	System emergencies
<p style="text-align: center;">CHAPTER 13 HAZARDOUS LIQUID PIPELINES AND UNDERGROUND STORAGE</p>		15.10(476)	Standards for interconnection, safety, and operating reliability
13.1(479B)	General information	15.11(476)	Additional rate-regulated utility obligations regarding AEP facilities
13.2(479B)	Petition for permit	15.12 to 15.16	Reserved
13.3(479B)	Informational meetings	15.17(476)	Alternate energy purchase programs
13.4(479B)	Notice of hearing	15.18(476B)	Certification of eligibility for wind energy tax credits under Iowa Code chapter 476B
13.5(479B)	Objections	15.19(476C)	Certification of eligibility for wind energy and renewable energy tax credits under Iowa Code chapter 476C
13.6(479B)	Hearing	<p style="text-align: center;">CHAPTER 16 ACCOUNTING</p>	
13.7(479B)	Pipeline permit	16.1(476)	Accounting—general information
13.8(479B)	Renewal permits	16.2(476)	Uniform systems of accounts—electric
13.9(479B)	Amendment of permits	16.3(476)	Uniform systems of accounts—gas
13.10(479B)	Fees and expenses	16.4(476)	Uniform systems of accounts—water
13.11	Reserved	16.5(476)	Uniform systems of accounts—telephone
13.12(479B)	Land restoration	16.6(476)	Uniform systems of accounts—telegraph
13.13	Reserved	16.7(476)	Filing of present promotional practices
13.14(479B)	Crossings of highways, railroads, and rivers	16.8(476)	Compiling advertisements and expenses
13.15 to 13.17	Reserved	16.9(476)	Postemployment benefits other than pensions
13.18(479B)	Reportable changes to pipelines under permit		
13.19(479B)	Sale or transfer of permit		
<p style="text-align: center;">CHAPTER 14 Reserved</p>			
<p style="text-align: center;"><i>UTILITIES AND TRANSPORTATION DIVISIONS</i></p>			
<p style="text-align: center;">CHAPTER 15 COGENERATION AND SMALL POWER PRODUCTION</p>			
15.1(476)	Definitions		
15.2(476)	Scope		
15.3(476)	Information to board		
15.4(476)	Rate-regulated electric utility obligations under this chapter regarding qualifying facilities		

## CHAPTER 17 ASSESSMENTS

- 17.1(475A,476,546) Purpose
- 17.2(475A,476) Definitions
- 17.3(476) Expenses to be included in direct assessments
- 17.4(476) Direct assessments under Iowa Code Supplement section 476.10
- 17.5(476) Reporting of operating revenues
- 17.6(475A,476) Compilation and billing of assessment
- 17.7(476) Funding of Iowa energy center and global warming center
- 17.8(476) Assessments under Iowa Code section 476.101(10)
- 17.9(478,479, 479A,479B) Assessments under Iowa Code chapters 478, 479, 479A, and 479B

## CHAPTER 18 UTILITY RECORDS

- 18.1(476) Definitions
- 18.2(476) Location of records
- 18.3(476) Availability of records
- 18.4(476) Electric utilities other than rural electric cooperatives
- 18.5(476) Rural electric cooperatives
- 18.6(476) Gas utilities
- 18.7(476) Water utilities
- 18.8(476) Telephone utilities

## CHAPTER 19 SERVICE SUPPLIED BY GAS UTILITIES

- 19.1(476) General information
- 19.2(476) Records, reports, and tariffs
- 19.3(476) General service requirements
- 19.4(476) Customer relations
- 19.5(476) Engineering practice
- 19.6(476) Metering
- 19.7(476) Standards of quality of service
- 19.8(476) Safety
- 19.9 Reserved
- 19.10(476) Purchased gas adjustment (PGA)
- 19.11(476) Periodic review of gas procurement practices [476.6(15)]

- 19.12(476) Flexible rates
- 19.13(476) Transportation service
- 19.14(476) Certification of competitive natural gas providers and aggregators
- 19.15(476) Customer contribution fund
- 19.16(476) Reserve margin

## CHAPTER 20 SERVICE SUPPLIED BY ELECTRIC UTILITIES

- 20.1(476) General information
- 20.2(476) Records, reports, and tariffs
- 20.3(476) General service requirements
- 20.4(476) Customer relations
- 20.5(476) Engineering practice
- 20.6(476) Metering
- 20.7(476) Standards of quality of service
- 20.8(476) Safety
- 20.9(476) Electric energy sliding scale or automatic adjustment
- 20.10(476) Ratemaking standards
- 20.11(476) Customer notification of peaks in electric energy demand
- 20.12 Reserved
- 20.13(476) Periodic electric energy supply and cost review [476.6(16)]
- 20.14(476) Flexible rates
- 20.15(476) Customer contribution fund
- 20.16 Reserved
- 20.17(476) Ratemaking treatment of emission allowances
- 20.18(476,478) Service reliability requirements for electric utilities

## CHAPTER 21 SERVICE SUPPLIED BY WATER UTILITIES

- 21.1(476) Application of rules
- 21.2(476) Records and reports
- 21.3(476) General service requirements
- 21.4(476) Customer relations
- 21.5(476) Engineering practice
- 21.6(476) Meter testing
- 21.7(476) Standards of quality of service
- 21.8(476) Applications for water costs for fire protection services

**CHAPTER 22  
RATES CHARGED AND SERVICE  
SUPPLIED BY TELEPHONE UTILITIES**

- 22.1(476) General information
- 22.2(476) Records and reports
- 22.3(476) General service requirements
- 22.4(476) Customer relations
- 22.5(476) Telephone utility service standards
- 22.6(476) Standards of quality of service
- 22.7(476) Safety
- 22.8(476) Nontoll interexchange trunking service (EAS) survey procedure
- 22.9(476) Terminal equipment
- 22.10(476) Unfair practices
- 22.11(476) Inside station wiring standards
- 22.12(476) Contents of tariff filings proposing rates
- 22.13(476) Methodology for determining costs to serve
- 22.14(476) Intrastate access charge application, tariff procedures, and rates
- 22.15(476) Interexchange utility service and access
- 22.16(476) Discontinuance of service
- 22.17(476) Resale of service
- 22.18(476,478) Service reliability requirements for electric utilities
- 22.19(476) Alternative operator services
- 22.20(476) Service territories
- 22.21(476) Toll dialing patterns
- 22.22 Reserved
- 22.23(476) Unauthorized changes in telephone service
- 22.24(476) Applications for numbering resources

**CHAPTER 23  
ANNUAL REPORT**

- 23.1(476) General information
- 23.2(476) Annual report requirements—rate-regulated utilities
- 23.3(476) Annual report requirements—non-rate-regulated utilities

**CHAPTER 24  
LOCATION AND CONSTRUCTION OF  
ELECTRIC POWER GENERATING  
FACILITIES**

- 24.1(476A) Authority, purpose, and policy
- 24.2(476A) Definitions
- 24.3(476A) Form of application, place of filing
- 24.4(476A) Application for a certificate—contents
- 24.5(476A) Initial board review: Application acceptance
- 24.6(476A) Procedural schedule
- 24.7(476A) Informational meeting
- 24.8(476A) Hearing procedure
- 24.9(476A) Separate hearings on separate issues
- 24.10(476A) Certification decision
- 24.11(476A) Site preparation
- 24.12(476A) Issuance of a certificate
- 24.13(476A) Exemptions from certification application; application for amendment for certificate: Contents
- 24.14(476A) Assessment of costs
- 24.15(476A) Waiver

**CHAPTER 25  
IOWA ELECTRICAL SAFETY CODE**

- 25.1(476,476A,478) General information
- 25.2(476,476A,478) Iowa electrical safety code defined
- 25.3(476,478) Inspection and maintenance plans
- 25.4(476,478) Correction of problems found during inspections
- 25.5(476,478) Accident reports

**CHAPTER 26  
RATE CASES, TARIFFS, AND  
RATE REGULATION ELECTION  
PRACTICE AND PROCEDURE**

- 26.1(17A,476) Scope and applicability
- 26.2(17A,476) Defective filings
- 26.3(17A,476) Proposal of settlements
- 26.4(476) Rate case expense
- 26.5(476) Applications and petitions
- 26.6(476) Answers
- 26.7(476) Rate investigation
- 26.8(476) Procedural schedule in Iowa Code sections 476.3 and 476.6 proceedings
- 26.9(476) Consumer comment hearing in docketed rate case of an investor-owned utility company

26.10(476)	Appeal from administrative law judge's decision	30.3(476)	Revenue adjustment
26.11(476)	Consideration of current information in rate regulatory proceedings	30.4(476)	Revised revenue requirement
26.12(476)	Rate regulation election—electric cooperative corporations and associations	30.5(476)	Rate filing
		30.6(476)	Board approval
	CHAPTER 27		CHAPTER 31
	Reserved		ACCESS TO AFFILIATE RECORDS, REQUIREMENTS FOR ANNUAL FILINGS, AND ASSET AND SERVICE TRANSFERS
	CHAPTER 28	31.1(476)	Applicability and definition of terms
	IOWA SUPPLEMENTAL ENERGY CONSERVATION PLAN	31.2(476)	Availability of records
28.1(476)	Purpose and coverage	31.3(476)	Annual filing
28.2(476)	Definitions	31.4(476)	Additional filing requirements for affiliated telecommunications service providers
28.3(476)	Compliance and monitoring	31.5(476)	Verified copies and confidential treatment
28.4(476)	Scope of benefits	31.6(476)	Comparable information
28.5(476)	Program announcements	31.7(476)	Standards for costing service transfers between regulated operations and nonregulated affiliates
28.6(476)	Requirements for program audits	31.8(476)	Standards for costing asset transfers between regulated operations and nonregulated affiliates valued at less than \$2 million
28.7(476)	Qualification of program auditors	31.9(476)	Waivers
28.8(476)	Listing		CHAPTER 32
28.9(476)	Arranging installation		REORGANIZATION
28.10(476)	Arranging financing	32.1(476)	Applicability and definition of terms
28.11(476)	Postinstallation inspection	32.2(476)	Substantial part of a public utility's assets
28.12(476)	Consumer grievance procedures	32.3(476)	Declaratory rulings
28.13(476)	Customer billing, repayment of loans, and termination of service	32.4(476)	Proposal for reorganization—filing requirements
28.14(476)	Accounting	32.5(476)	Effective date
28.15(476)	Reporting	32.6(476)	Insufficient filing
28.16(476)	Record keeping	32.7(476)	Additional information authorized
	CHAPTER 29	32.8(476)	Waivers
	MANAGEMENT EFFICIENCY STANDARDS	32.9(476)	Procedural matters
29.1(476)	Policy and purpose		
29.2(476)	Efficiency considered in rate case		
29.3(476)	Management efficiency standards		
29.4(476)	Rewards and penalties		
	CHAPTER 30		
	TAX REFORM REVENUE ADJUSTMENT		
30.1(476)	Applicability		
30.2(476)	General filing requirement		

**CHAPTER 33  
NONUTILITY ACTIVITIES—RECORD  
KEEPING AND COST ALLOCATIONS**

- 33.1(476) Applicability
- 33.2(476) Definitions
- 33.3(476) Availability of records
- 33.4(476) Costing methodology
- 33.5(476) Cost allocation manuals
- 33.6(476) Standards for costing service transfers within a regulated subsidiary or utility
- 33.7(476) Standards for costing asset transfers within a regulated subsidiary or utility

**CHAPTER 34  
NONUTILITY SERVICE**

- 34.1(476) Statement of purpose
- 34.2(476) Definition—nonutility service
- 34.3(476) Definition—systematic marketing effort
- 34.4(476) Engaged primarily in providing the same competitive nonutility services in the area—defined
- 34.5(476) Charges permitted
- 34.6(476) Procedures for utilization of billing and collection system
- 34.7(476) Complaints

**CHAPTER 35  
ENERGY EFFICIENCY PLANNING  
AND COST REVIEW**

- 35.1(476) Policy and purpose
- 35.2(476) Definitions
- 35.3(476) Applicability
- 35.4(476) Schedule of filings
- 35.5 Reserved
- 35.6(476) Procedures
- 35.7(476) Waivers
- 35.8(476) Assessment of potential and energy efficiency plan requirements
- 35.9(476) Additional requirements for electric utilities
- 35.10(476) Additional requirements for gas utilities
- 35.11(476) Additional filing requirements
- 35.12(476) Energy efficiency cost recovery
- 35.13(476) Prudence review
- 35.14(476) New structure energy conservation standards
- 35.15(476) Exterior flood lighting

**CHAPTER 36  
ENERGY EFFICIENCY PLANNING  
AND REPORTING FOR  
NON-RATE-REGULATED GAS  
AND ELECTRIC UTILITIES**

- 36.1(476) Non-rate-regulated utilities
- 36.2(476) Definitions
- 36.3(476) Schedule of filings
- 36.4(476) Joint filing of plans
- 36.5(476) Energy efficiency plan requirements
- 36.6(476) Program selection criteria
- 36.7(476) New structure energy conservation standards
- 36.8(476) Exterior flood lighting

**CHAPTER 37  
EQUIPMENT DISTRIBUTION  
PROGRAM**

- 37.1(477C) Policy and purpose
- 37.2(477C) Program structure
- 37.3(477C) Eligibility
- 37.4(477C) Equipment
- 37.5(477C) Complaints

**CHAPTER 38  
LOCAL EXCHANGE COMPETITION**

- 38.1(476) General information
- 38.2(476) Number portability
- 38.3(476) Interconnection requirements
- 38.4(476) Unbundled facilities, services, features, functions, and capabilities
- 38.5(476) Cost standards
- 38.6(476) Compensation for termination of telecommunications services
- 38.7(476) Mediation and arbitration

**CHAPTER 39  
UNIVERSAL SERVICE**

- 39.1(476) Definition of terms
- 39.2(476) Eligible carrier requirements
- 39.3(476) Low-income connection assistance program (Link-up) and low-income Lifeline assistance
- 39.4(476) Universal service support for schools and libraries
- 39.5(476) Quality of service reporting by eligible telecommunications carriers
- 39.6(476) Universal service certification

## CHAPTER 40

## COMPETITIVE BIDDING PROCESS

- 40.1(476) General information
- 40.2(476) Competitive resource acquisition procedure
- 40.3(476) Utility-build or lease cost estimates
- 40.4(476) Utility affiliate bids
- 40.5(476) Request for proposals (RFP)
- 40.6(476) Complaints

## CHAPTER 41

## Reserved

## CHAPTER 42

CROSSING OF RAILROAD  
RIGHTS-OF-WAY

- 42.1(476) Definitions
- 42.2(476) Applicability and purpose
- 42.3(476) General notice and specification exhibit requirements and payment of fee
- 42.4(476) Emergency notice and repairs
- 42.5(476) Relocation of public utility facilities
- 42.6(476) Engineering standards for electric and communications lines
- 42.7(476) Engineering standards for pipelines
- 42.8(476) Liability
- 42.9(476) Insurance
- 42.10(476) Removal of equipment

- 42.11(476) Assignment
- 42.12(476) Prohibition against mechanic's liens
- 42.13(476) Taxes
- 42.14(476) Protection of signal systems
- 42.15(476) Safety regulations
- 42.16(476) Recording
- 42.17(17A,476) Complaints and petitions for relief—general information
- 42.18(17A,476) Filing of complaint or petition
- 42.19(17A,476) Presiding officer
- 42.20(17A,476) Answer
- 42.21(17A,476) Parties and appearances
- 42.22(17A,476) Procedural order and notice of hearing
- 42.23(17A,476) Discovery
- 42.24(17A,476) Hearing procedures
- 42.25(17A,476) Decision

## CHAPTER 43

## IOWA BROADBAND INITIATIVE

- 43.1(476) Authority and purpose
- 43.2(476) Definitions
- 43.3(476) Applicability
- 43.4(476) Procedures
- 43.5(476) Broadband initiative plan requirements
- 43.6(476) Upon completion of approved plan
- 43.7(476) Confidentiality
- 43.8(476) Project reports